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|---|----------------------|------------------------|-----------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) | Application Number   | 10/018,321             |           |
|   | Filing Date          | 12/12/2001             |           |
|   | First Named Inventor | SHIRAISHI, Mitsuru     |           |
|   | Group Art Unit       | 1624                   |           |
|   | Examiner Name        | tba                    |           |
| Total Number of Pages in This Submission  | 6                    | Attorney Docket Number | 2614 USOP |

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| ENCLOSURES (check all that apply)   |   |  |
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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Postcard.<br>Certificate of Express Mailing<br>Cited Reference (1) |
| Remarks   |   | The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account 500799.  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                                      |
|--|--------------------------------------|
| Firm or Individual name                    | Mark Chao Ph.D., JD, Reg. No. 37,293 |
| Signature                                  |                                      |
| Date                                       | 11/21/02                             |

| CERTIFICATE OF MAILING   |                 |      |          |
|--|-----------------|------|----------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as <sup>EXPRESS</sup> first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 11/21/02 |                 |      |          |
| Typed or printed name  | Gail L. Winokur |      |          |
| Signature  |                 | Date | 11/21/02 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/018,321 ✓  
Filed: 12/12/2001 ✓  
1<sup>st</sup> Inventor: SHIRAISHI, Mitsuru  
For: Benzazepine Derivative, Production and  
Use Thereof  
Atty. Dkt. No. 2614 USOP ✓

Art Unit: 1624  
Examiner: tba  
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Supplemental Information Disclosure Statement

Commissioner for Patents  
Washington, D.C. 20231  
Sir:

Pursuant to 37 CFR §1.56, 1.97 and 1.98, applicants request consideration of the reference listed on the attached form PTO-1449 under §1.97(c).

No Office Action or Notice of Allowance has been mailed in this case.

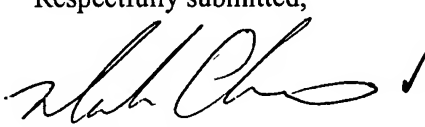
If necessary, Applicants herewith authorize the Commissioner to charge the Applicant's USPTO deposit account for the appropriate fee as set forth under 37 CFR §1.17(p) for submission of a supplemental Information Disclosure Statement.

Should the Examiner believe that a conference with applicants' attorney would advance prosecution of this application, the Examiner is respectfully invited to call applicants' attorney.

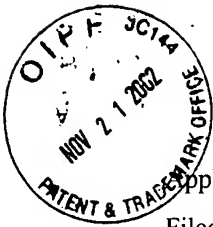
Respectfully submitted,

Dated: November 20, 2002

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/018,321  
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**Itemized Papers/Items:**

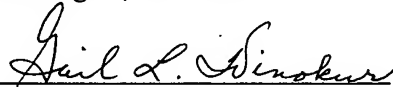
1. This Postcard and Certificate of Express Mailing (2 pages)
2. Transmittal Form (1 page X 2)
3. Supplemental Information Disclosure Statement and PTO Form 1449 (2 pages), and cited reference (1)

The undersigned hereby certifies that the above itemized papers are together being deposited with the Express Mail Post Office to Addressee service of the United States Postal Service (USPS) in an envelope with sufficient postage, having the USPS Express Mail Label No. shown above, and addressed to:

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Dated: 11/21/02

  
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